

**ANALYSIS OF SECURE KEY MANAGEMENT SCHEME
FOR DYNAMIC HIERARCHICAL ACCESS CONTROL
BASED ON ECC AND DIFFIE-HELLMAN KEY
EXCHANGE PROTOCOL**

Mr. Manoj Ahke*

Mr. Ram Ratan Ahirwar*

Abstract

An access control mechanism in a user hierarchy is used to provide the management of sensitive information for authorized users. The users and their own information can be organized into a number of disjoint sets of security classes according to their responsibilities. Each security class in a user hierarchy is assigned an encryption key and can derive the encryption keys of all lower security classes according to predefined partially ordered relation. In 2006, Jeng and Wang proposed an efficient key management scheme based on elliptic curve cryptosystems. This paper, however, pointed out that Jeng–Wang scheme is vulnerable to the so-called compromising attack that the secret keys of some security classes can be compromised by any adversary if some public information modified. We further proposed a secure key management scheme based on elliptic curve cryptosystems to eliminate the pointed out the security leak and provide better security requirements. As compared with Jeng and Wang’s scheme (Jeng and Wang, 2006), the proposed scheme has the following properties. (i) It is simple to execute the key generation and key derivation phases. (ii) It is easily to address dynamic access control when a security class is added into or deleted from the hierarchy. (iii) It is secure against some potential attacks. (iv) The required storage of the public/secret parameters is constant.

Keywords: Key management, Key assignment ,Elliptic curve,Hierarchical access control

* S.A.T.I VIDISHA.

1. Introduction

The access control problem in a user hierarchy is used to many applications such as schools, governments, military, corporations, computer network systems, and database management systems. All users in such a system form a user hierarchy and can be assigned into a number of disjoint sets of security classes, say $SC = \{SC_1, SC_2, \dots, SC_n\}$, which are partially ordered by a binary relation " \leq ". In (SC, \leq) , $SC_j \leq SC_i$ means that the security level of class SC_i is higher than or equal to the security class SC_j . In other words, users in SC_j can access the encrypted information held by users in SC_i , but the opposite is disallowed. The secret key K_i is used by each security class SC_i to encrypt/decrypt its sensitive information. When a user in SC_i would like to retrieve data encrypted by SC_j , he should get the right key K_j .

Akl and Taylor (1983) first proposed a solution to solve the hierarchical access control problem. In their scheme, each security class is assigned a secret key and a public parameter. The security class SC_i can successfully use its secret key and some public parameters

to derive the secret key of the security class SC_j such that $SC_j \leq SC_i$. Main drawback of Akl and Taylor's scheme is that the size of the public parameter grows linearly with the number of security classes. Later, Mackinnon et al. (1985) presented an optimal algorithm, called the canonical assignment, to reduce the value of public parameters. However, it is difficult to find an optimal canonical algorithm. Above two schemes adopted the top-down approach to generate all secret keys. All secret keys must be re-generated when a security class is added into or deleted from the user hierarchy. The dynamic access control problems in access control cannot

be efficiently solved. Harn and Lin (1990) proposed a bottom-up key generating scheme to improve the computational and storage complexities. Since then, several schemes (Chang et al., 1992, 2004; Chung et al., 2008; Das et al., 2005; Hsu et al., 2008; Hwang and Yang, 2003; Jeng and Wang, 2006; Kuo et al., 1999; Shen and Chen, 2002; Wu and Wei, 2006; Wu et al., 1995; Wu and Chang, 2001; Yang and Li, 2004) have been proposed to efficiently deal with the dynamic access control problems.

Chang et al. (1992) proposed a key assignment scheme based on Newton's interpolations method and one-way function. In their scheme, a user with higher security class must iteratively perform the key derivation process for deriving the secret keys of its lowest security class(es). It is inefficient in the key derivation process. Wu and Chang (2001) and Shen and Chen (2002) proposed cryptographic key assignment schemes to solve the access policy using polynomial interpolations. In their schemes, the system does not need to maintain the security classes' and the users' secret keys. That is, any user can freely change his/her secret key for some security reasons. However, Hsu and Wu pointed out a security leak inherent in both schemes (Hsu and Wu, 2003). An attacker can violate the predefined access control policy to access to the unauthorized information. Later, Yang and Li (2004) proposed a cryptographic

key assignment scheme based on one-way hash function. The cryptographic key of Yang and Li's scheme is determined by one-way hash functions. Hsu et al. (2008) also pointed out some security flaws of Yang and Li's scheme to show that the claimed security requirement is violated. That is, the users can overstep his authority to access unauthorized information. Hsu et al. further proposed two improvements to eliminate the pointed out flaws.

Recently, Jeng and Wang (2006) proposed an efficient key

management and derivation scheme based on the elliptic curve cryptosystems (it is denoted as the Jeng–Wang scheme for short). In Jeng–Wang scheme, the secret key of each security class can be determined by itself instead of a trusted central authority. Major advantage of Jeng–Wang scheme is to solve dynamic key management efficiently and flexibly. It is unnecessary to re-generate keys for all the security classes in the hierarchy when the security class is added into or deleted from the user hierarchy. This paper, however, pointed a compromising attack on Jeng–Wang scheme, which implies their scheme cannot achieve the claimed requirements.

Finally, we proposed a secure key management scheme based on elliptic curve cryptosystem against the compromise attack. As compared with Jeng and Wang's scheme (Jeng and Wang, 2006), the proposed scheme has the following properties. (i) It is simple to execute the key generation and key derivation phases. (ii) It is easily to address dynamic access control when a security class is added into or deleted from the user hierarchy. (iii) It is secure against both interior and exterior attacks. (iv) The required storage of the public/secret parameters is constant.

The rest of this paper is sketched as follows. In Section 2, we reviewed Jeng–Wang's key management scheme and demonstrated the compromise attack on Jeng–Wang scheme. In Section 3, we proposed a secure key management scheme based on elliptic curve cryptosystem. In Section 4, we discussed the dynamic key management. We analyzed the security and performance of the proposed scheme in Sections 5 and 6, respectively. Finally, we give some conclusions.

2. The Jeng–Wang key management scheme and its security leak

In this section, we briefly reviewed Jeng and Wang's key management scheme (Jeng and Wang, 2006). We also demonstrated a compromising attack on their scheme to show that the claimed necessary security requirement is violated.

2.1. The Jeng–Wang scheme

In 2006, Jeng and Wang proposed an efficient key management and derivation scheme based on the elliptic curve cryptosystem to solve the hierarchical access control problems (Jeng and Wang, 2006). Their scheme consists of the initialization, the key generation, and the key derivation phases. In the

initialization phase, a central authority (CA) determines all system parameters. In the key generation phase, each security class determines a secret point on an elliptic group over a finite field as its secret key. All secret points are sent to CA via a secure channel for constructing a key relationship derivation hierarchy. In the key derivation phase, the predecessor can use its own secret key and the public information related to the successor(s) to derive the encryption/decryption key(s) for accessing the authorized file(s). Detailed descriptions of these phases are given below.

Initialization phase – CA randomly chooses a large prime p and an elliptic curve $E_p(a, b) : y^2 = x^3 + ax + b \pmod{p}$ with a point O at infinity, where $a, b \in \mathbb{Z}^*_p$ are two random integers satisfying that $4a^3 + 27b^2 \not\equiv 0 \pmod{p}$. Let $G \in E_p(a, b)$ be a base point of order q , where q is a large prime. CA also selects a transformation function $\tilde{A} : (x, y) \rightarrow v$ for transforming a point on $E_p(a, b)$ into a real number $v \in \mathbb{Z}^*_p$. Finally, CA publishes $(p, q, \tilde{A}, E_p(a, b), G)$. Key generation phase – Initially, CA determines its secret key

$k_{ca} \in \mathbb{Z}^*_q$ and publishes the corresponding public key $Y_{ca} = k_{ca}G$. Without loss of generality, let $SC = \{SC_1, SC_2, \dots, SC_n\}$ be a user hierarchy with n disjoint sets of security classes which are partially ordered by a binary relation " \leq ". Each security class owns its own secret keys, a secret key and an encryption key. The secret key is used to derive the successor's encryption key. The encryption key is used to encrypt messages for confidentiality. Each security class SC_i (for $i=1, 2, \dots, n$) randomly chooses a secret key $k_{i,1} \in \mathbb{Z}^*_q$ and an encryption key $k_{i,2} \in [1, p-1]$, and computes the corresponding public key $Y_i = k_{i,1}G$. Each security class SC_i further chooses a random integer $r_i \in \mathbb{Z}^*_q$, computes

$$C_{i,1} = r_i G \quad (1)$$

$$C_{i,2} = (k_{i,2}, k_{i,1}) + r_i Y_{ca} \quad (2)$$

and transmits $(C_{i,1}, C_{i,2})$ to the central authority CA. The CA can derive $(k_{i,2}, k_{i,1})$ from $(C_{i,1}, C_{i,2})$ by the following equation:

$$(k_{i,2}, k_{i,1}) = C_{i,2} - k_{ca} C_{i,1} \quad (3)$$

For security class SC_i (for $i = n, n-1, \dots, 1$), CA employs the bottom-up approach to compute

$$v_{i,j} = \tilde{A}(k_{j,1} Y_i) \quad (4)$$

and construct a polynomial $f_i(x)$ by interpolating the points $(v_{i,j}, k_{j,2})$'s for all $SC_i < SC_j$. CA finally publishes $f_i(x)$'s for $i=1, 2, \dots, n$.

Key derivation phase – When the security class SC_i wants to access the encrypted and held by SC_j where $SC_j < SC_i$, it can use its secret key $k_{i,1}$, the public key Y_j of SC_j , and the public information $f_i(x)$ to derive $k_{j,2} = f_j(\tilde{A}(k_{i,1} Y_j))$. With the knowledge of the encryption key $k_{i,2}$, the security class SC_i can decrypt and access the data encrypted by SC_j .

2.2. Compromising attack on Jeng–Wang scheme

First we proposed a compromising attack on Jeng–Wang scheme to show that any outsider is able to derive an unauthorized encryption key if the relationship between any two security classes is updated.

Recall Jeng–Wang scheme, each security class SC_i generates its key pair $(k_{i,1}, Y_i)$ and an encryption key $k_{i,2}$, which are contributed to construct the polynomial $f_j(x)$ for its successor SC_j where $SC_j < SC_i$. Considering the scenario of the dynamic access control management that CA can add or delete some predecessors into or from SC_j , CA will update the public polynomial as $f_{-j}(x)$. Let \bar{G}_j be the set of the security classes SC_i 's ($SC_j < SC_i$), which still remain as the predecessors of SC_j . We can see that the secret keys belonged to the security class $SC_i \in \bar{G}_j$ are also contributed to the new polynomial $f_{-j}(x)$. It means that the point $(v_{l,j}, k_{j,2})$ associated with the security class $SC_i \in \bar{G}_j$ will satisfy the polynomial $(v_{l,j}) = 0$, where $(x) = f_j(x) - f_{-j}(x)$. With the knowledge of $f_j(x)$ and $f_{-j}(x)$, the adversary can try to derive all $v_{l,j}$'s such that $(v_{l,j}) = 0$ by finding the roots

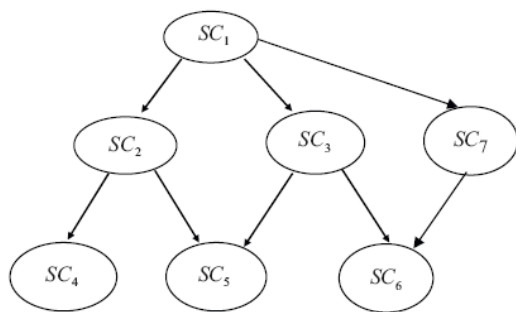


Fig. 1. A partially ordered user hierarchy.

of the polynomial $(x) = 0$ in the polynomial time (Ben-Or, 1981; Cohen, 1991). The adversary without knowing any secret information can further derive the encryption key $k_{j,2}$ of the security class SC_j by $k_{j,2} = f_j(v_{l,j})$. Hence, Jeng–Wang scheme is vulnerable to our proposed compromising attack. For simplicity, we gave a simple example to demonstrate that our proposed compromising attack is effective in attacking on Jeng–Wang scheme. Suppose that the user hierarchy contains seven security classes as shown in Fig. 1. The predecessors of the security class SC_6 are SC_1 , SC_3 , and SC_7 , and the public polynomial $f_6(x)$ for SC_6 is constructed by the points $(v_{1,6}, k_{6,2})$, $(v_{3,6}, k_{6,2})$, and $(v_{7,6}, k_{6,2})$. If the security class SC_7 is removed from the user hierarchy, the public polynomial $f_6(x)$ for SC_6 will be replaced with $f_{-6}(x)$ which is constructed by the points $(v_{1,6}, k_{6,2})$ and $(v_{3,6}, k_{6,2})$. With the knowledge of $f_6(x)$ and $f_{-6}(x)$, any adversary can derive $v_{1,6}$ or $v_{3,6}$ by finding the roots of the polynomial $(x) = f_6(x) - f_{-6}(x)$ in the polynomial time (Ben-Or, 1981; Cohen, 1991). The adversary can further use $v_{1,6}$ and $v_{3,6}$ to derive the encryption key of SC_6 by $k_{6,2} = f_6(v_{1,6})$ and $k_{6,2} = f_6(v_{3,6})$ by himself.

From above analysis, we can see that some secret key(s) might be compromised if the corresponding public polynomial is modified but its some point(s) are unchanged. Considering another scenario that the secret and public keys of some (not all) predecessors of the security class SC_j are updated, CA will update

the public polynomial as $f_{-j}(x)$. Let \hat{G}_j be the set of the security classes SC_j 's ($SC_j < SC_1$) whose secret and public keys are unchanged. We can see that the secret keys belonged to the security class $SC_1 \in \hat{G}_j$ are also contributed to the new polynomial $f_{-j}(x)$. Hence, any outsider is able to derive all $v_{l,j}$'s for $SC_1 \in \hat{G}_j$ such that $(v_{l,j}) = 0$, where $(x) = f_j(x) - f_{-j}(x)$, in the polynomial time (Ben-Or, 1981; Cohen, 1991) and then obtains the encryption key for SC_j as $k_{j,2} = f_j(v_{l,j})$.

That is, Jeng–Wang scheme is also vulnerable to our proposed compromising attack even if the relationship between any two security classes is not updated. Recall the same simple example as shown in Fig. 1. The predecessors of the security class SC_6 are SC_1 , SC_3 , and SC_7 , and the public polynomial $f_6(x)$ for SC_6 is constructed by the points $(v_{1,6}, k_{6,2})$, $(v_{3,6}, k_{6,2})$, and $(v_{7,6}, k_{6,2})$. If the security and public keys for the security class SC_7 are changed as $(k_{7,1}, Y_7 = k_{7,1}G)$, the public polynomial $f_6(x)$ for SC_6 will be replaced with $f_{-6}(x)$ which is constructed by the points $(v_{1,6}, k_{6,2})$, $(v_{3,6}, k_{6,2})$, and $(v_{7,6}, k_{6,2})$ where $v_{7,6} = \sim A(k_{6,1}Y_7)$. With the knowledge of $f_6(x)$ and $f_{-6}(x)$, any outsider can derive $v_{1,6}$ or $v_{3,6}$ by finding the roots of the polynomial $(x) = f_6(x) - f_{-6}(x)$ in the polynomial time (Ben-Or, 1981; Cohen, 1991). The encryption key of SC_6 will be compromised by $k_{6,2} = f_6(v_{1,6})$ or $k_{6,2} = f_6(v_{3,6})$.

Computational complexity for such kind of attack includes that of finding the roots of a polynomial and that of computing a polynomial for a given x-coordinate value. Complexity of these two problems depends on the number of the relationship related to the target security class. From the references (Ben-Or, 1981; Cohen, 1991), all of them can be solved in the polynomial time. Hence, the adversary can plot such an attack in the polynomial time.

3. The key mangement scheme

This scheme can also be divided into three phases as those in Jeng–Wang's scheme. In the initialization phase, a central authority (CA) determines all system parameters. In the key generation phase, each security class chooses its secret key and computes the corresponding public key. Then each security class uses its secret key and a public parameter to compute its encryption key. Afterward each security class sends its encryption key to CA. CA extracts the encryption key of the security class and constructs the public polynomials of each security class by using a top-down approach. In the key derivation phase, the predecessor can use its own encryption key and the public information related to the successor(s) to derive the decryption key(s) for accessing the authorized file(s). Detailed descriptions of these phases are given below.

Initialization phase – CA randomly chooses a large prime p , a large prime q , and $a, b \in \mathbb{Z}^*_p$ be two parameters satisfying that $4a^3 + 27b^2 \pmod{p} \neq 0$. Let $E_p(a, b)$ be an elliptic curve over $GF(p)$ containing a set of points (x,y) 's with $x, y \in \mathbb{Z}^*_p$ and a point O at infinity, where $y^2 = x^3 + ax + b \pmod{p}$. Let G_1 be

an additive cyclic group with prime order q and G be a generator of G_1 . CA selects a symmetric cryptosystem in which $E_k(\cdot)$ and $D_k(\cdot)$ are the encryption and decryption algorithms with the key k . CA also selects two secure one-way hash functions $H_1 : \{0, 1\}^* \times G_1 \rightarrow Z^*_q$ and $H_2 : G_1 \rightarrow Z^*_q$.

CA determines its secret key k_{ca} and makes Y_{ca} public, where $Y_{ca} = k_{ca}G$. Finally, CA publishes $(p, q, H_1, H_2, E_p(a, b), G, Y_{ca})$. Key generation phase – Without loss of generality, let $SC = \{SC_1, SC_2, \dots, SC_n\}$ be a user hierarchy with n disjoint sets of security classes which are partially ordered by a binary relation “ \leq ”. For each security class SC_i , it chooses its own secret key $k_{i,1} \in Z^*_q$ and computes the corresponding public key $Y_i = k_{i,1}G$ and the encryption key $k_{i,2}$ for $i=1, 2, \dots, n$. This phase can be achieved by the following steps:

Step 1. Each security class $SC_i \in SC$ performs the following tasks to generate its secret data V_i :

- Randomly choose a number $r_i \in Z^*_q$ and compute the public information $R_i = r_iG$.
- Compute the encryption key $k_{i,2} = H_1(k_{i,1}, R_i)$.
- Compute $v_i = k_{i,2}(H_2(r_iY_{ca})) \bmod q$.
- Send the secret data v_i to CA by a secure method.

Step 2. Upon receiving v_i from SC_i for $i=1, 2, \dots, n$, CA computes $k_{i,2} = (v_i(H_2(k_{ca}R_i))^{-1}) \bmod q$ to extract $k_{i,2}$ by using his secret key k_{ca} .

Step 3. CA computes the integer $DK_{i \rightarrow j} = H_1(k_{i,2}, Y_j)$ for all SC_i 's (for $i=1, 2, \dots, n$) such that $SC_j < SC_i$. The symbol $DK_{i \rightarrow j}$ denotes the derivation key of the security class SC_i for deriving the encryption key of its successor SC_j .

Step 4. CA uses the polynomial interpolation to determine a public function $f_j(x)$ for each security class SC_j and $j = n, n-1, \dots, 1$. The polynomial $f_j(x)$ is constructed by the points $(DK_{i \rightarrow j}, E_{H_1(k_{i,2}, R_j)}(k_{j,2}))$ for all SC_i 's such that $SC_j < SC_i$.

Key derivation phase – When the security class SC_i wants to access the encrypted data held by SC_j where $SC_j < SC_i$, SC_i can perform the following steps to obtain SC_j 's encryption key $k_{j,2}$:

Step 1. Compute the derivation key $DK_{i \rightarrow j} = H_1(k_{i,2}, Y_j)$.

Step 2. Compute $E_{H_1(k_{i,2}, R_j)}(k_{j,2}) = f_j(DK_{i \rightarrow j})$.

Step 3. Obtain the encryption key $k_{j,2}$ of SC_j by decrypting $E_{H_1(k_{i,2}, R_j)}(k_{j,2})$ as $D_{H_1(k_{i,2}, R_j)}(E_{H_1(k_{i,2}, R_j)}(k_{j,2}))$.

4. Dynamic key management

This section presented the solutions to dynamic key management problems, including adding/deleting a security class and changing a secret key.

4.1. Adding a security class

Consider the scenario that a new security class SC_l is added into

an existing user hierarchy such that $SC_i > SC_l > SC_j$. The security class SC_l computes its own secret key $kl_{l,2}$, generates the secret data ν_l , and sends ν_l to CA. Then, CA updates the public function $f_i(x)$ of those security classes SC_j ($SC_i > SC_l$). We describe these steps below in detail.

Step 1. The security class SC_l performs the following tasks to generate its secret data ν_l :

- (a) Randomly choose a number $r_l \in Z^*p$ and compute the public information $R_l = r_lG$.
- (b) Compute the secret key $kl_{l,2} = H_1(kl_{l,1}, R_l)$.
- (c) Compute $\nu_l = kl_{l,2}(H_2(r_lY_{ca})) \bmod q$.
- (d) Send the secret data ν_l to CA via a secure channel.

Step 2. Upon receiving ν_l from SC_l , CA computes $kl_{l,2} = \nu_l(H_2(kcaR_l))^{-1} \bmod q$ to extract $kl_{l,2}$ by using his secret key kca .

Step 3. For all SC_j 's (where $SC_j < SC_l$), CA computes $DK_{l \rightarrow j} = H_1(kl_{l,2}, Y_j)$ and constructs new polynomials $f_j(x)$'s including the point $(DK_{l \rightarrow j}, EH_1(kl_{l,2}, R_j)(k_j, 2))$.

Step 4. For all SC_i 's (where $SC_i > SC_l$), CA computes $DK_{i \rightarrow l} = H_1(ki_{i,2}, Y_l)$, $DK_{i \rightarrow l} = H_1(ki_{i,2}, Y_l)$ and constructs new polynomials $f_i(x)$'s including the points $(DK_{i \rightarrow l}, EH_1(ki_{i,2}, R_l)(kl_{l,2}))$'s.

Note that the proposed scheme must perform secret key updating for all successors belonged to SC_l before executing above tasks if the proposed scheme should achieve backward confidentiality.

Backward confidentiality is that user(s)/security class(es) join into the user hierarchy cannot access to any old key or sensitive information. Tasks for secret key updating are mentioned in Section 4.3.

4.2. Deleting a security class

A security class SC_l is deleted from an existing user hierarchy such as $SC_i > SC_l > SC_j$, CA not only revokes information related to SC_l , but also alters the relationship between the involved predecessor SC_i and ex-successor SC_j of SC_l . CA performs the following steps:

Step 1. For all SC_j 's (where $SC_j < SC_l$), reconstruct new polynomial $f_j(x)$'s excluding the point $(DK_{l \rightarrow j}, EH_1(kl_{l,2}, R_j)(k_j, 2))$.

Step 2. Delete the security class SC_l from the user hierarchy and discard the secret key and public parameters of SC_l .

Note that the proposed scheme must perform secret key updating for all successors belonged to SC_l before executing above tasks if the proposed scheme should achieve forward confidentiality. Forward confidentiality is that users/security class(es) left from the user hierarchy cannot access to any future key or sensitive information.

Tasks for secret key updating are mentioned in Section 4.3.

4.3. Changing a secret key

It might be necessary to change the secret key for some security consideration. For example, if the security class suspected that its secret key(s) are revealed or disclosed to adversary, the security class might change its secret keys. Another example is that the secret keys of the security class will be updated when one of its predecessors is deleted from user hierarchy. If the secret keys are unchanged under the circumstance, the deleted predecessor is still able to access the information hold by the security class. As mentioned in Sections 4.1 and 4.2, it is necessary to update secret key(s) for achieving backward and forward confidentiality. When

a security class SC_i wants to change its secret key(s), CA only needs to update the public key and the public information of SC_i in our proposed scheme. The key pair $(k_{i,1}, Y_i = k_{i,1}G)$ of the security SC_i is used to establish a secure communications between SC_i and CA, and the secret key $k_{i,2}$ is used to encrypt its sensitive information and derive the secret key(s) of its successors. If the security class SC_i want to change $k_{i,1}$ as $k_{i,1}$, SC_i only informs CA to update and announce its new public key $Y_i = k_{i,1}G$. If the security class SC_i only wants to change $k_{i,2}$ as $k_{i,2}$, SC_i and CA cooperatively perform the following steps:

Step 1. Security class SC_i performs the following tasks to generate its secret data v_i :

- (a) Randomly choose a number $r_i \in \mathbb{Z}^*_q$ and compute the public information $R_i = r_iG$.
- (b) Compute the encryption key $k_{i,2} = H_1(k_{i,1}, R_i)$.
- (c) Compute $v_i = k_{i,2}(H_2(r_i Y_{ca})) \bmod q$.
- (d) Send the secret data v_i to CA by a secure method.

Step 2. Upon receiving v_i from SC_i , CA computes $k_{i,2} = v_i(H_2(k_{ca}R_i))^{-1} \bmod q$ to extract $k_{i,2}$ by using his secret key k_{ca} .

Step 3. CA computes the integer $DK_{i \rightarrow j} = H_1(k_{i,2}, Y_j)$ for all SC_j 's such that $SC_j < SC_i$ and reconstructs the new polynomial $f_j(x)$ by replacing the point $(DK_{i \rightarrow j}, EH_1(k_{i,2}, R_j)(k_j, 2))$ with $(DK_{i \rightarrow j}, EH_1(k_{i,2}, R_j)(k_j, 2))$ in $f_j(x)$.

Step 4. For all SC_l 's such that $SC_l > SC_i$, CA reconstructs the new polynomial $f_l(x)$ by replacing the points $(DK_{l \rightarrow i}, EH_1(k_{l,2}, R_i)(k_{i,2}))$'s with $(DK_{l \rightarrow i}, EH_1(k_{l,2}, R_i)(k_{i,2}))$'s in $f_l(x)$.

5. Security Analysis

In this section, we define the well-known security assumption (Diffie and Hellman, 1976; Menezes et al., 1997) and then discuss the security analysis of the proposed scheme based the assumption. The security assumption is defined as follows. One-way hash function (OWHF) (Diffie and Hellman, 1976; Menezes et al., 1997): a secure one-way hash function h has the following properties that (i) Given a output $h(x)$ of a one-way hash function h , it is computationally infeasible to derive x from $h(x)$; (ii) it is computationally infeasible to find two distinct values x and x_* such that that $h(x) = h(x_*)$.

5.1. Considerations to the prevention of compromising attacks

Consider the scenario that a successor SC_j ($SC_j < SC_i$) who knows the public parameters ($R_j, Y_j, f_i(x)$) attempts to derive SC_i 's encryption key $k_{i,2}$. No information is about SC_i 's encryption key $k_{i,2}$ except the public polynomial $f_i(x)$. The public data $f_i(x)$ is constructed by the points $(DK_{i \rightarrow j}, EH1(k_{i,2}, R_j)(k_{j,2}))$'s and the adversary will face the problem of reversing the one-way hash function to derive $k_{i,2}$.

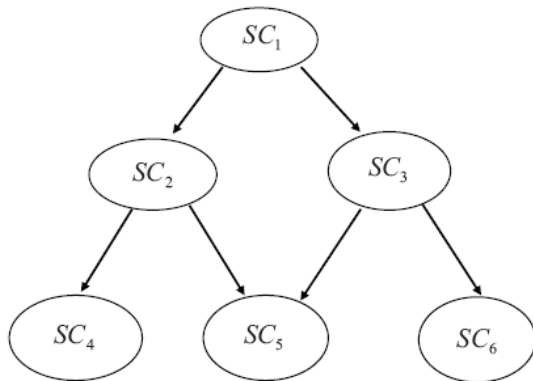


Fig. 2. A small sample of a POSET hierarchy.

Therefore, the lower security class cannot derive the secret key of the higher security class.

5.2. Considerations to the prevention of collusive attacks

Consider the problem of two or lower security classes collude with each other to derive the secret key of higher security class. For simplicity, let SC_A , SC_B and SC_C be the successors of SC_i and then attempt to cooperatively derive SC_i 's encryption key $k_{i,2}$. They can have the following equations:

$$k_{A,2} = DH1(k_{i,2}, RA)(fi(DK_{i \rightarrow A})) = DH1(k_{i,2}, RA)(fi(H1(k_{i,2}, YA))),$$

$$k_{B,2} = DH1(k_{i,2}, RB)(fi(DK_{i \rightarrow B})) = DH1(k_{i,2}, RB)(fi(H1(k_{i,2}, YB))), \text{ and}$$

$$k_{C,2} = DH1(k_{i,2}, RC)(fi(DK_{i \rightarrow C})) = DH1(k_{i,2}, RC)(fi(H1(k_{i,2}, YC))).$$

It can be seen that $k_{i,2}$ is protected under the secure one-way hash function $H1$. This implies that our scheme is secure against the collusive attacks.

5.3. Considerations to the prevention of equation attacks

If two security classes have the common successor(s), one of them might attempt to use the public polynomial(s) of another class(es) for deriving unauthorized secret keys. However, this attack is not possible in our scheme since the successors' encryption keys are encrypted by using the secret key of its predecessor.

As shown in Fig. 2, SC_2 and SC_3 have the common successor SC_5 . The security class SC_2 might attempt to derive SC_6 's encryption key by using SC_3 's public polynomial $f_3(x)$. For simplicity, we use the example depicted in Fig. 2 to demonstrate that the relationships $SC_2 > SC_5$ and $SC_3 > SC_5$. SC_2 might attempt to obtain SC_6 's encryption key $k_{6,2}$ through their common successor SC_5 . With the knowledge of $f_3(x)$

and the encryption key $k_{5,2}$, the security class SC2 still cannot derive SC6's encryption key $k_{6,2}$. We can see that $f_3(x)$ is constructed by the points $(DK_{3 \rightarrow 5}, EH_1(k_{3,2}, R_5)(k_{5,2}))$ and $(DK_{3 \rightarrow 6}, EH_1(k_{3,2}, R_6)(k_{6,2}))$, where $DK_{3 \rightarrow 5} = H_1(k_{3,2}, Y_5)$ and $DK_{3 \rightarrow 6} = H_1(k_{3,2}, Y_6)$. It is computationally hard for SC2 to derive SC6's encryption key $k_{6,2}$ from the public parameters and SC5's encryption key $k_{5,2}$ without knowing SC3's encryption key $k_{3,2}$. It can be seen that the derivation of SC6's encryption key $k_{6,2}$ is based on the difficulty of reversing one-way hash function.

5.4. Considerations to the prevention of exterior root finding attacks

Consider the scenario that an adversary who is not a user in any security class in a user hierarchy attempts to derive the encryption key of a security class by using the root finding algorithm. All successors' encryption keys of a security class SC_i are embedded in its public polynomial $f_i(x)$. When CA adds/deletes some successors into/from SC_i , CA updates the public polynomial as $f_{-i}(x)$. However, for those successors, which remain as successors of SC_i in $f_{-i}(x)$, their secrets are still at the same positions of $f_{-i}(x)$. An adversary can generate a polynomial by taking the difference of $f_i(x)$ and $f_{-i}(x)$. Then an adversary can refer (Ben-Or, 1981; Cohen, 1991) to the roots of the equation $f_i(x) - f_{-i}(x) = 0$ in a polynomial time. With the knowledge of the roots, the adversary can easily derive the secret keys of the successors of SC_i . In our scheme, an adversary can compute the x -coordinates from the equation $f_i(x) - f_{-i}(x) = 0$. That is, the adversary can get $DK_{i \rightarrow j}$ for $SC_j < SC_i$. From this value, it is computationally infeasible to compute $k_{i,2}$ of SC_i . Therefore, it is computational hard to derive the encryption key $k_{j,2}$ of SC_i . Since $k_{j,2}$ is encrypted by the key $H_1(k_{i,2}, R_j)$, which is composed by the encryption key $k_{i,2}$ of SC_i , our scheme is secure against such type of attack.

5.5. Considerations to group confidentiality

We consider the group confidentiality that users who are not in the user hierarchy or its predecessor(s) should not have access to any key that can decrypt any multicast data sent to the security class. In our proposed scheme, all information for a security class is encrypted by its encryption key. From above security analysis, the security of the secret keys is based on a secure one-way hash function.

Only the users in this security class or its predecessor(s) can follow our proposed scheme to derive the encryption and access the information. Others will face the intractability of reversing the one-way hash function. Hence, the proposed scheme can achieve group confidentiality.

5.6. Considerations to forward/backward confidentiality

Forward confidentiality is that users/security class(es) left from the user hierarchy cannot access to any future key or sensitive information.

Backward confidentiality is that user(s)/security class(es) join into the hierarchy cannot access to any old key or sensitive information. Our proposed scheme considers the dynamic key management and hence it

can achieve forward/backward confidentiality if changing secret key is performed under above two circumstances.

5.7. Considerations to collusion freedom

Collusion freedom is that any set of deleted members or security classes should not be able to deduce the current used key. In Section 4.2, we consider the issues and the tasks about deleting a security class from the user hierarchy. If any security class is deleted from the user hierarchy, the public information of its successor(s) must be updated. As mentioned in Section 4.2, the proposed scheme must perform secret key updating for all successors belonged to SCI after deleting a security class if the proposed scheme should achieve forward confidentiality. Moreover, all secret keys hold by deleted security classes is independent. Hence, deleted security classes cannot act in collude to obtain any sensitive information of the user hierarchy. This implies that the proposed scheme can achieve collusion freedom.

6. Conclusions

We pointed out that Jeng–Wang scheme (Jeng and Wang, 2006) is insecure against our proposed compromising attack, which implies their claimed necessary security requirement is violated. Elaborating on the merits of elliptic curve cryptosystem, we further presented a secure and efficient cryptographic key management scheme. The proposed scheme is secure against the proposed compromising attack and some potential attacks. Not only necessary security requirements for key management are achieved, but also the efficient and practical dynamic key management solutions are proposed. The security class in the user hierarchy can freely select its own secret keys and change its encryption key $k_{i,2}$ to $k_{i,2}$ for some security considerations. Therefore, the proposed scheme can achieve higher security with low computational costs.

References

- [1] Akl, S.G., Taylor, P.D., 1983. Cryptographic solution to a problem of access control in a hierarchy. *ACM Transactions on Computer System* 1 (3), 239–248.
- [2] Ben-Or, M., 1981. Probabilistic algorithms in finite fields. In: 22nd Annual Symposium on Foundations of Computer Science (IEEE FOCS'81) , pp. 394–398.
- [3] Chang, C.C., Hwang, R.J., Wu, T.C., 1992. Cryptographic key assignment scheme for access control in a hierarchy. *Information Systems* 17 (3), 243–247.
- [4] Chang, C.C., Lin, I.C., Tsai, H.M., Wang, H.H., 2004. A key assignment scheme for controlling access in partially ordered user hierarchies. In: Proceedings of the 18th FIEEE. International Conference on Advanced Information Networking Applications AINA) 2 , pp. 376–379.

- [5] Chung, Y.F., Lee, H.H., Lai, F., Chen, T.S., 2008. Access control in user hierarchy based on elliptic curve cryptosystem. *Information Sciences* 178 (1), 230–243.
- [6] Cohen, H., 1991. *A Course in Computational Algebraic Number Theory*. Springer- Verlag.
- [7] Das, M.L., Saxena, A., Gulati, V.P., Phatak, D.B., 2005. Hierarchical key management scheme using polynomial interpolation. *Operating Systems Review* 39 (1), 40–47.
- [8] Diffie, W., Hellman, M., 1976. New directions in cryptography. *IEEE Transactions on Information Theory* IT 22 (6), 644–654.
- [9] Harn, L., Lin, H.Y., 1990. A cryptographic key generation scheme for multilevel data security. *Computers and Security* 9 (6), 539–546.
- [10] Hsu, C.L., Wu, T.S., 2003. Cryptanalyses and improvements of two cryptographic key assignment schemes for dynamic access control in a user hierarchy. *Computers & Security* 22 (5), 453–456.
- [11] Hsu, C.L., Tsai, P.L., Chou, Y.C., 2008. Robust dynamic access control scheme in a user hierarchy based on one-way hash function. *International Computer Symposium*.
- [12] Hwang, M.S., Yang, W.P., 2003. Controlling access in large partially-ordered hierarchies using cryptographic keys. *Journal of Systems and Software* 67 (2), 99–107.
- [13] Jeng, F.G., Wang, C.M., 2006. An efficient key-management scheme for hierarchical access control based on elliptic curve cryptosystem. *The Journal of Systems and Software* 79 (8), 1161–1167.
- [14] Kuo, F.H., Shen, V.R.L., Chen, T.S., Lai, F., 1999. Cryptographic key assignment scheme for dynamic access control in a user hierarchy. *IEE Proceedings – Computers and Digital Techniques* 146 (5), 235–240.
- [15] Mackinnon, S.J., Taylor, P.D., Meijer, H., Akl, S.G., 1985. An optimal algorithm for assigning cryptographic keys to control access in a hierarchy. *IEEE Transactions on Computers* C 34 (9), 797–802.
- [16] Menezes, A.J., Oorschot, P.C., Vanstone, S.A., 1997. *Handbook of Applied Cryptography*. CRC Press Inc.